

**BUSINESS ENTITY- APPLICANT INFORMATION**

## SECTION 1 (Continued)

List the Names and Titles of all Officers and/or Members and percentage of ownership and/or number of shares (if applicable) **PRIOR** to the transfer as currently recognized with the Commission.

<b>Name</b>	<b>Title</b> (Corporations list President, Vice President, Secretary, Treasurer and LLC companies list Members)	<b>Ownership</b> Percentage of ownership and/or number of shares
Douglas James LLC	Member	50%
Von Buff LLC	Member	50%

**REPRESENTATION OF APPLICANT**

## SECTION 2

If Applicant is represented by legal counsel or if this application is being filed by legal counsel, please provide the following:

**LEGAL COUNSEL'S NAME:**

N/A

**FIRM NAME:**

Mailing Address:

City:

State:

ZIP Code:

Telephone # (Include Area Code)

Fax # (Include Area Code)

Cell # (Include Area Code)

Email Address:

**DETAILS OF STOCK TRANSFER OR CHANGE IN OWNERSHIP**

## SECTION 3

Please attach a copy of the transfer or change in ownership agreement, a certified copy of the corporate resolution authorizing the stock transfer, and the appropriate stock certificate numbers (if applicable), to this application as **Exhibit "C"** and provide the details of the stock transfer or change in ownership below:

(If additional space is needed, attach a separate sheet listing details to Exhibit C)

Gulf-MC, LLC's ownership was transferred by the two members to another holding company, BuffWater LLC, that they are the sole members of for tax purposes.

BuffWater LLC is owned 50/50 by Douglas James LLC and Von Buff LLC.

**DETAILS OF STOCK TRANSFER OR CHANGE IN OWNERSHIP**

## SECTION 3 (Continued)

If the stock transfer is approved, list the Names and Titles of all Officers and/or Members and percentage of ownership and/or number of shares (if applicable) **AFTER** the proposed transfer is complete.

<b>Name</b>	<b>Title</b> (Corporations list President, Vice President, Secretary, Treasurer and LLC companies list Members)	<b>Ownership</b> Percentage of ownership and/or number of shares
BuffWater LLC	Member	100%

**BANKRUPTCY, LEVY AND RECEIVERSHIP INFORMATION**

## SECTION 4

(If additional space is needed, attach a separate sheet for each responses as needed)

1. Is the certificate holder current with inspection and supervision fee reports and payments with the Louisiana Department of Revenue? ☐ NO ☐ YES

*Copies of the Certificate or Permit Holder's last 4 quarters of Quarterly Inspection & Supervision Reports filed with the LDR along with proof of payments MUST be attached to this application as Exhibit "D".*

2. Is the common carrier certificate or contract carrier permit pledged or otherwise encumbered? ☒ NO ☐ YES\*

\*If you answered yes to number 2, give the names and addresses of those whose favor the authority is encumbered:

3. Does the Louisiana Department of Revenue and taxation hold a levy against this the common carrier certificate or contract carrier permit? ☒ NO ☐ YES\*

\*If you answered yes to number 3, attach a copy of the Notice of Levy to this application as an **Exhibit**

4. Are there any other levies against the common carrier certificate or contract carrier permit? ☒ NO ☐ YES\*

\*If you answered yes to number 4, attach copies of the levies to this application as an **Exhibit** and list the names and addresses of parties holding the levies; the nature of the levies and amount(s) claimed under each levy below.

5. Is the applicant involved in any bankruptcy proceeding? ☒ NO ☐ YES\*

\*If you answered yes to number 5, attach a copy of the Notice of Bankruptcy to this application as an **Exhibit** and list the name(s) of counsel for the party(s) with an interest in the common carrier certificate or contract carrier permit below:

**VERIFICATION**

**SECTION 5**

STATE OF Texas PARISH/COUNTY OF Harris

BEFORE ME, the undersigned authority, Kevin Shockley (Applicant as Authorized Representative) who represents Gulf-MC, LLC dba Gulf Marine Contractors (Business Entity) personally came and appeared, who, after being duly sworn, did depose and say that he/she is the APPLICANT in the above application; that he/she desires to TRANSFER stock or ownership in its Common Carrier Certificate or Contract Carrier Permit, he/she represents that the foregoing responses are good, true, and accurate. Applicant acknowledges that should any response be shown to have been either a negligent or intentional misrepresentation of the facts, action taken by the Louisiana Public Service Commission in reliance of the responses contained herein may be declared void ab initio and revocable upon complaint by any interested party or by ex parte motion of the Louisiana Public Service Commission. Applicant further understands that the information contained in this application may be shared with the Louisiana Department of Revenue for purposes of Inspection and Supervision Fees.

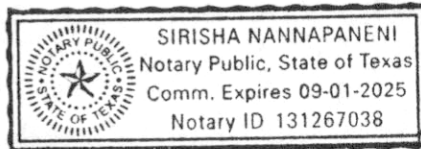
Applicant's signature reflects an understanding of the consequences attributable to misstatements or misrepresentations of fact.

**SWORN TO AND SUBSCRIBED** before me this 10<sup>th</sup> day of June, 2022

Kevin Shockley

PRINTED NAME OF APPLICANT

SIGNATURE OF APPLICANT



PRINTED NAME OF NOTARY PUBLIC

SIGNATURE OF NOTARY PUBLIC  
(including Notary Number)

**LPSC OFFICE USE ONLY**

Accepted by Staff [Signature] Date 6-20-22

DOCKET # S-36394 PUBLISHED IN BULLETIN # 1274 ON 6-24-22  
Date

LOUISIANA PUBLIC SERVICE COMMISSION

CONTRACT CARRIER PERMIT

NO. 1698

A PERMIT to operate in Louisiana intrastate commerce as a contract carrier by motor vehicle, serving not more than FIVE parties under the provisions of Louisiana Revised Statute Title 45 Chapter 4, is hereby granted to GULF-MC, LLC DBA GULF MARINE CONTRACTORS whose office or place of business is at THE WOODLANDS, TEXAS, to operate as follows:

Transportation as a nonscheduled, charter, passenger service using vehicles which meet manufacturer's specifications for no more than fifteen passengers.

(Issued pursuant to L.R.S. 45:164(c) and the rules and regulations of the Louisiana Public Service Commission. Except for cause beyond control, non-operation of an authority for a period of six (6) months could lead to its cancellation pursuant to La. R.S. 45:166, Paragraphs B and C.)

Said GULF-MC, LLC DBA GULF MARINE CONTRACTORS shall so operate for parties authorized under contracts copies of which are to be filed with the Louisiana Public Service Commission, subject to rules and regulations of the Commission bearing thereon.

WITNESS THE SIGNATURE AND SEAL OF THE COMMISSION AT BATON ROUGE,  
LOUISIANA, ON THIS THE 15<sup>TH</sup> DAY OF SEPTEMBER 2020.



/S/ MIKE FRANCIS

DISTRICT IV

CHAIRMAN MIKE FRANCIS

/S/ CRAIG GREENE

DISTRICT II

VICE CHAIRMAN CRAIG GREENE

/S/ FOSTER L. CAMPBELL

DISTRICT V

COMMISSIONER FOSTER L. CAMPBELL

/S/ LAMBERT C. BOISSIERE, III

DISTRICT III

COMMISSIONER LAMBERT C. BOISSIERE, III

  
\_\_\_\_\_  
BRANDON M. FREY  
SECRETARY

/S/ ERIC F. SKRMETTA

DISTRICT I

COMMISSIONER ERIC F. SKRMETTA

## ASSIGNMENT OF MEMBERSHIP INTEREST

**ASSIGNOR:** Von Buff LLC, a Texas limited liability company  
**ASSIGNEE:** BuffWater LLC, a Texas limited liability company  
**EFFECTIVE DATE:** December 31, 2020

FOR VALUE RECEIVED, Assignor hereby transfers, conveys, and assigns unto Assignee all of its rights, title, and interest to the following membership interests:


A 50% membership interest in Gulf-MC, LLC d/b/a Gulf Marine Contractors, a Texas limited liability company, and

a 50% membership interest in CrossDock Supply, LLC, a Texas limited liability company  
(collectively, the two membership interests are the "Membership Interest")

Assignor warrants that it is the legal and equitable owner of all rights and title to the Membership Interest, that there are no restrictions on this Assignment, and that such Membership Interest is all of its membership interest in the subject companies.

Assignor hereby binds its assignees and successors in interest to defend Assignee against all claims as to the validity of this transfer.

**Assignor:** Von Buff LLC

  
By: Clark Buffam, its Member

  
By: Amanda Buffam, its Member

### Acceptance of Assignment.

Assignees hereby accept such assignments.

Assignee: BuffWater, LLC

  
By: Steven R. Vanderwater, its Manager

  
By: Clark Buffam, its Manager

## ASSIGNMENT OF MEMBERSHIP INTEREST

**ASSIGNOR:** Douglas James, LLC, a Texas limited liability company

**ASSIGNEE:** BuffWater LLC, a Texas limited liability company

**EFFECTIVE DATE:** December 31, 2020

FOR VALUE RECEIVED, Assignor hereby transfers, conveys, and assigns unto Assignee all of its rights, title, and interest to the following membership interests:

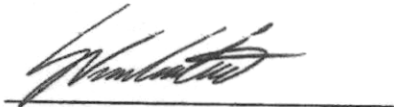
A 50% membership interest in Gulf-MC, LLC d/b/a Gulf Marine Contractors, a Texas limited liability company, and

a 50% membership interest in CrossDock Supply, LLC, a Texas limited liability company  
(collectively, the two membership interests are the "Membership Interest")

Assignor warrants that it is the legal and equitable owner of all rights and title to the Membership Interest, that there are no restrictions on this Assignment, and that such Membership Interest is all of its membership interest in the subject companies.

Assignor hereby binds its assignees and successors in interest to defend Assignee against all claims as to the validity of this transfer.

**Assignor:** Douglas James, LLC

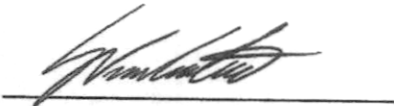


By: Steven R. Vanderwater, its Member

### Acceptance of Assignment.

Assignees hereby accept such assignments.

Assignee: BuffWater, LLC



By: Steven R. Vanderwater, its Manager



By: Clark Buffam, its Manager





**R. Kyle Ardoin**  
SECRETARY OF STATE

*As Secretary of State of the State of Louisiana, I do hereby Certify that*

**GULF-MC, LLC**

A limited liability company domiciled in SPRING, TEXAS,

Filed charter and qualified to do business in this State on August 10, 2017,

I further certify that the records of this Office indicate the company has paid all fees due the Secretary of State, and so far as the Office of the Secretary of State is concerned, is in good standing and is authorized to do business in this State.

I further certify that this certificate is not intended to reflect the financial condition of this company since this information is not available from the records of this Office.

In testimony whereof, I have hereunto set my hand and caused the Seal of my Office to be affixed at the City of Baton Rouge on,

June 6, 2022

*Secretary of State*

Web 42765837Q



Certificate ID: 11581932#KHH62

To validate this certificate, visit the following web site, go to **Business Services**, **Search for Louisiana Business Filings**, **Validate a Certificate**, then follow the instructions displayed.  
[www.sos.la.gov](http://www.sos.la.gov)



## Inspection & Supervision

Jun-30-2021

Excise - Insp/Supv

1757148-001-760

GULF MARINE CONTRACTORS

## Processed

Confirmation #

1-769-575-744

Submitted Jul-20-2021 13:50:08 by Kevin Shockley

Processed Jul-21-2021 01:41:44

## Inspection/Supervision Return

Return

Paid Preparer Information

Review and Submit

### Inspection and Supervision Fee

1.	Total intrastate gross receipts for the calendar year	5,575.00
2.	Total fee	40.00
3.	Less total fee from prior quarter	20.00
4.	Net fee due	20.00
5.	Penalty	0.00
6.	Interest	0.00
7.	Total Due	20.00

## Payment

Jun-30-2021

Excise - Insp/Supv

1757148-001-760

GULF MARINE CONTRACTORS

## Processed

Confirmation #

0-930-977-088

Submitted Jul-20-2021 13:51:45 by Kevin Shockley

Processed Jul-22-2021 22:23:06

## Payment

### Payment Channel

Type

Direct Debit - US Bank

Name

BANK OF AMERICA, N.A. - \*4500

Bank Account Type

Checking

Savings

Routing Number

111000025

Bank Name

BANK OF AMERICA, N.A.

Account Number

\*\*\*4500

### Payment

Payment Type

Return Payment

Payment Date

Jul-20-2021

Amount

20.00

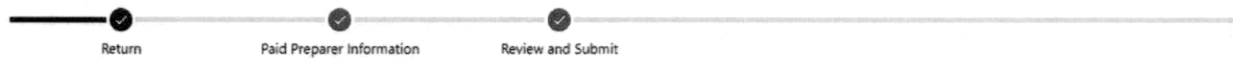
## Inspection & Supervision

Sep-30-2021  
Excise - Insp/Supv  
1757148-001-760  
GULF MARINE CONTRACTORS

## Processed

Confirmation #  
0-075-656-512  
Submitted Oct-02-2021 13:55:52 by Kevin Shockley  
Processed Oct-05-2021 03:18:18

### Inspection/Supervision Return



#### Inspection and Supervision Fee

1.	Total intrastate gross receipts for the calendar year	5,575.00
2.	Total fee	60.00
3.	Less total fee from prior quarter	40.00
4.	Net fee due	20.00
5.	Penalty	0.00
6.	Interest	0.00
7.	Total Due	20.00

## Payment

Sep-30-2021  
Excise - Insp/Supv  
1757148-001-760  
GULF MARINE CONTRACTORS

## Processed

Confirmation #  
0-507-759-936  
Submitted Oct-02-2021 13:56:52 by Kevin Shockley  
Processed Oct-05-2021 23:08:54

### Payment

#### Payment Channel

Option

Default

New

Type

Direct Debit - US Bank

Name

BANK OF AMERICA, N.A. - \*4500

Bank Account Type

Checking

Savings

Routing Number

111000025

Bank Name

BANK OF AMERICA, N.A.

Account Number

\*\*\*\*4500

#### Payment

Payment Type

Return Payment

Payment Date

Oct-02-2021

Amount

20.00

## Inspection & Supervision

Dec-31-2021  
Excise - Insp/Supv  
1757148-001-760  
GULF MARINE CONTRACTORS

## Processed

Confirmation #  
0-146-238-272  
Submitted Mar-10-2022 16:55:34 by Kevin Shockley  
Processed Mar-11-2022 04:00:11

### Inspection/Supervision Return



#### Inspection and Supervision Fee

1.	Total intrastate gross receipts for the calendar year	5,575.00
2.	Total fee	80.00
3.	Less total fee from prior quarter	60.00
4.	Net fee due	20.00
5.	Penalty	0.00
6.	Interest	0.00
7.	Total Due	20.00

## Payment

Dec-31-2021  
Excise - Insp/Supv  
1757148-001-760  
GULF MARINE CONTRACTORS

## Processed

Confirmation #  
1-219-980-096  
Submitted Mar-10-2022 16:56:08 by Kevin Shockley  
Processed Mar-15-2022 03:14:36

### Payment

#### Payment Channel

Option

Default	New
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Type

Direct Debit - US Bank

Name

BANK OF AMERICA, N.A. - \*4500

Bank Account Type

Checking	Savings
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Routing Number

111000025

Bank Name

BANK OF AMERICA, N.A.

Account Number

\*\*\*\*4500

#### Payment

Payment Type

Bill Payment

Payment Date

Mar-10-2022

Amount

20.00

## Inspection & Supervision

Mar-31-2022

Excise - Insp/Supv

1757148-001-760

GULF MARINE CONTRACTORS

## Submitted

Confirmation #

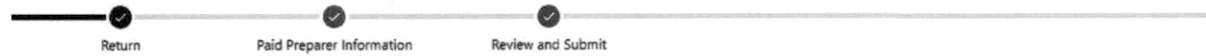
0-415-176-512

Submitted Jun-06-2022 15:16:58 by Kevin Shockley

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## Inspection/Supervision Return



### Inspection and Supervision Fee

1.	Total intrastate gross receipts for the calendar year	0.00
2.	Total fee	20.00
3.	Less total fee from prior quarter	0.00
4.	Net fee due	20.00
5.	Penalty	0.00
6.	Interest	0.00
7.	Total Due	20.00

## Payment

Mar-31-2022

Excise - Insp/Supv

1757148-001-760

GULF MARINE CONTRACTORS

## Submitted

Confirmation #

0-952-047-424

Submitted Jun-06-2022 15:17:22 by Kevin Shockley

> Cancel

## Payment

### Payment Channel

Option

Default

New

Type

Direct Debit - US Bank

Name

BANK OF AMERICA, N.A. - \*4500

Bank Account Type

Checking

Savings

Routing Number

111000025

Bank Name

BANK OF AMERICA, N.A.

Account Number

\*\*\*\*4500

### Payment

Payment Type

Return Payment

Payment Date

Jun-06-2022

Amount

20.00