

5-36394

LOUISIANA PUBLIC SERVICE COMMISSION JUN 2 0 202

PO Box 91154; Baton Rouge, LA 70821 (888) 342-5717 or (225) 342-4439

LA. PUBLIC SERVICE COMMISSION TRANSPORTATION

TRANSPORTATION APPLICATION FOR STOCK TRANSFER/CHANGE IN OWNERSHIP LESS THAN 50% FOR ALL CARRIERS OR TRANSFER DUE TO ESTATE PLANNING, INHERITANCE OR BUSINESS CONTINUITY FOR NON-WASTE OR SALTWATER CARRIERS

BUSINESS ENTITY- APPLICANT INFORMATION

SECTION 1

Name as listed on the Certificate or Permit: (Including any doing business as "dba" name) Gulf-MC, LLC				
DBA: Gulf Marine Contracto	ors			
Business Entity's Authorized Represent	tative: Kevin Shockley			
Applicant currently holds Common Number(s): A copy has been attached to this		ntract Carri	er Permit	1698
^{Business Address:} 27335 W Ha	rdy Rd, Ste 108			
City: Spring		State: TX		ZIP Code: 77373
Mailing Address: PO Box 1449				
City: Spring		State: TX		ZIP Code: 77383
Telephone # (Include Area Code) 866-594-6886	Fax # (Include Area Code)		Cell # (Inclu 713-376-5	ide Area Code) 5732
Email Address: Kevin.Shockley@gulfmarinecontractors.com				
Has your FEIN# changed? If so, please provide your new number:				
CONFIRM COMPANY TAX REPORTING YEAR (Check ONLY one box) Company's Tax reporting year is on a CALENDAR basis reporting January 01 to December 31 each year. Company's Tax reporting year is on a FISCAL basis reporting from to each year. Month/Day				
	COMPANY BUSINESS ST	RUCTURE		
Check Louisiana Domestic Corporation Date of Incorporation one Louisiana Domestic Limited Liability Company (LLC) Date of Formation box Louisiana Domestic Partnership Date of Formation Louisiana Limited Liability Partnership Date of Formation Foreign* Corporation in the State of Date of Incorporation Foreign* Limited Liability Company (LLC) in the State of TX Date of Formation 04/10/2017 Foreign* Partnership in the State of Date of Formation		10/2017		
MUST attach copies of the company's Secretary of State Certificate of Good Standing & Amendments made to the Articles of Incorporation, Organization or Formation along with copies of all amendments and last annual reports if applicable from your state of origin or existence as "Exhibit B" . * <u>Foreign Entities</u> must submit copies of the all amendments and last annual report filed with the Louisiana Secretary of State's Office along with a Certificate of Good Standing issued by the Louisiana Secretary of State's Office.				

BUSINESS ENTITY- APPLICANT INFORMATION

SECTION 1 (Continued)

List the Names and Titles of all Officers and/or Members and percentage of ownership and/or number of shares (if applicable) **PRIOR** to the transfer as currently recognized with the Commission.

Name	Title (Corporations list President, Vice President, Secretary, Treasurer and LLC companies list Members)	Ownership Percentage of ownership and/or number of shares
Douglas James LLC	Member	50%
Von Buff LLC	Member	50%

REPRESENTATION OF APPLICANT SECTION 2				
If Applicant is represented by legal counsel or if this application is being filed by legal counsel, please provide the following:				
LEGAL COUNSEL'S NAME:				
N/A				
FIRM NAME:				
Mailing Address:				
City:		State:		ZIP Code:
Telephone # (Include Area Code)	Fax # (Include Area Code)		Cell # (Inclu	ide Area Code)
Email Address:				

DETAILS OF STOCK TRANSFER OR CHANGE IN OWNERSHIP

SECTION 3

Please attach a copy of the transfer or change in ownership agreement, a certified copy of the corporate resolution authorizing the stock transfer, and the appropriate stock certificate numbers (if applicable), to this application as Exhibit "C" and provide the details of the stock transfer or change in ownership below: (If additional space is needed, attach a separate sheet listing details to Exhibit C)

Gulf-MC, LLC's ownership was transferred by the two members to another holding company, BuffWater LLC, that they are the sole members of for tax purposes.

BuffWater LLC is owned 50/50 by Douglas James LLC and Von Buff LLC.

DETAILS OF STOCK TRANSFER OR CHANGE IN OWNERSHIP

SECTION 3 (Continued)

If the stock transfer is approved, list the Names and Titles of all Officers and/or Members and percentage of ownership and/or number of shares (if applicable) **AFTER** the proposed transfer is complete.

Name	Secretary, Treasurer and LLC companies list Members)	Ownership Percentage of ownership and/or number of shares
BuffWater LLC	Member	100%

BANKRUPTCY, LEVY AND RECEIVERSHIP INFORMATION			
SECTION 4			
(If additional space is needed, attach a separate sheet for each responses as needed) 1. Is the certificate holder current with inspection and supervision fee reports	□ NO		
and payments with the Louisiana Department of Revenue?	☐ YES		
and payments with the Louisiana Department of Revenue:			
Copies of the Certificate or Permit Holder's last 4 quarters of Quarterly Inspection & Supervision			
Reports filed with the LDR along with proof of payments MUST be attached to this application as			
Exhibit "D".			
2. Is the common carrier certificate or contract carrier permit pledged or	NO NO		
otherwise encumbered?	YES*		
*If you answered yes to number 2, give the names and addresses of those whose favor			
the authority is encumbered:			
3. Does the Louisiana Department of Revenue and taxation hold a levy against	NO NO		
this the common carrier certificate or contract carrier permit?	YES*		
*If you answered yes to number 3, attach a copy of the Notice of Levy to this			
application as an Exhibit			
4. Are there any other levies against the common carrier certificate or contract	NO NO		
carrier permit?	YES*		
*If you answered yes to number 4, attach copies of the levies to this application as an			
Exhibit and list the names and addresses of parties holding the levies; the nature of			
the levies and amount(s) claimed under each levy below.			
5. Is the applicant involved in any bankruptcy proceeding?	NO NO		
	YES*		
*If you answered yes to number 5, attach a copy of the Notice of Bankruptcy to this			
application as an Exhibit and list the name(s) of counsel for the party(s) with an			
interest in the common carrier certificate or contract carrier permit below:			

VERIFICATION SECTION 5

STATE OF	Texas	PARISH/COUNTY OF	Harris	
BEFORE ME, th	ne undersigned authority,	Kevin Shockley	(Applicant as	
Authorized Repr	resentative) who represen	nts Gulf-MC, LLC dba Gulf Mar	rine Contractors	
(Business Entity)) personally came and app	eared, who, after being duly sworn	, did depose and say that he/she is the	
APPLICANT in	the above application; that	t he/she desires to TRANSFER stoc	k or ownership in its Common Carrier	
Certificate or Contract Carrier Permit, he/she represents that the foregoing responses are good, true, and accurate.				
Applicant acknowledges that should any response be shown to have been either a negligent or intentional				
misrepresentation of the facts, action taken by the Louisiana Public Service Commission in reliance of the responses				
contained herein may be declared void <u>ab initio</u> and revocable upon complaint by any interested party or by ex parte				
motion of the Louisiana Public Service Commission. Applicant further understands that the information contained in				
this application n	nay be shared with the Lou	isiana Department of Revenue for p	purposes of Inspection and Supervision	
Fees.				

Applicant's signature reflects an understanding of the consequences attributable to misstatements or misrepresentations of fact.

SWORN TO AND SUBSCRIBED before me this _	10 day of <u>Sume</u> , 2022
Kevin Shockley	KANG
PRINTED NAME OF APPLICANT	SIGNATURE OF APPLICANT
Notar Com	NISHA NANNAPANENI ry Public, State of Texas mm. Expires 09-01-2025 otary ID 131267038 SIGNATURE OF NOTARY PUBLIC (including Notary Number)
Accepted by Staff Damage Pour	Date 6-20-22
DOCKET # S-36394 PUBLISHED IN	BULLETIN # 1274 ON 6-24-22 Date

LOUISIANA PUBLIC SERVICE COMMISSION

CONTRACT CARRIER PERMIT

<u>NO. 1698</u>

A PERMIT to operate in Louisiana intrastate commerce as a contract carrier by motor vehicle, serving not more than FIVE parties under the provisions of Louisiana Revised Statue Title 45 Chapter 4, is hereby granted to <u>GULF-MC, LLC DBA GULF MARINE CONTRACTORS</u> whose office or place of business is at <u>THE</u> <u>WOODLANDS, TEXAS</u>, to operate as follows:

Transportation as a nonscheduled, charter, passenger service using vehicles which meet manufacturer's specifications for no more than fifteen passengers.

(Issued pursuant to L.R.S. 45:164(c) and the rules and regulations of the Louisiana Public Service Commission. Except for cause beyond control, non-operation of an authority for a period of six (6) months could lead to its cancellation pursuant to La. R.S. 45:166, Paragraphs B and C.)

Said <u>GULF-MC, LLC DBA GULF MARINE CONTRACTORS</u> shall so operate for parties authorized under contracts copies of which are to be filed with the Louisiana Public Service Commission, subject to rules and regulations of the Commission bearing thereon.

WITNESS THE SIGNATURE AND SEAL OF THE COMMISSION AT BATON ROUGE, LOUISIANA, ON THIS THE 15TH DAY OF SEPTEMBER 2020.





<u>/S/ MIKE FRANCIS</u> DISTRICT IV CHAIRMAN MIKE FRANCIS

<u>/S/ CRAIG GREENE</u> DISTRICT II VICE CHAIRMAN CRAIG GREENE

<u>/S/ FOSTER L. CAMPBELL</u> DISTRICT V COMMISSIONER FOSTER L. CAMPBELL

<u>/S/ LAMBERT C. BOISSIERE, III</u> DISTRICT III COMMISSIONER LAMBERT C. BOISSIERE, III

/S/ ERIC F. SKRMETTA DISTRICT I COMMISSIONER ERIC F. SKRMETTA

ASSIGNMENT OF MEMBERSHIP INTEREST

ASSIGNOR: Von Buff LLC, a Texas limited liability company

ASSIGNEE: BuffWater LLC, a Texas limited liability company

EFFECTIVE DATE: December 31, 2020

FOR VALUE RECEIVED, Assignor hereby transfers, conveys, and assigns unto Assignee all of its rights, title, and interest to the following membership interests:

A 50% membership interest in Gulf-MC, LLC d/b/a Gulf Marine Contractors, a Texas limitor liability company, and

a 50% membership interest in CrossDock Supply, LLC, a Texas limited liability company (collectively, the two membership interests are the "Membership Interest")

Assignor warrants that it is the legal and equitable owner of all rights and title to the Membership Interest, that there are no restrictions on this Assignment, and that such Membership Interest is all of its membership interest in the subject companies.

Assignor hereby binds its assignees and successors in interest to defend Assignee against all claims as to the validity of this transfer.

Assignor: von Buñ in:

By: Clark Bullam, its Werniver

Acceptance of Assignment. Assignees hereby accept such assignments.

Assignee: BuffWater, LLC

By: Steven R. Vanderwater, its Manager

By: Clark Buffam, its Manager

By. Amanúa Buñam, its iviember

ASSIGNMENT OF MEMBERSHIP INTEREST

ASSIGNOR: Douglas James, LLC, a Texas limited liability company

ASSIGNEE: BuffWater LLC, a Texas limited liability company

EFFECTIVE DATE: December 31, 2020

FOR VALUE RECEIVED, Assignor hereby transfers, conveys, and assigns unto Assignee all of its rights, title, and interest to the following membership interests:

A 50% membership interest in Gulf-MC, LLC d/b/a Gulf Marine Contractors, a Texas limited liability company, and

a 50% membership interest in CrossDock Supply, LLC, a Texas limited liability company (collectively, the two membership interests are the "Membership Interest")

Assignor warrants that it is the legal and equitable owner of all rights and title to the Membership Interest, that there are no restrictions on this Assignment, and that such Membership Interest is all of its membership interest in the subject companies.

Assignor hereby binds its assignees and successors in interest to defend Assignee against all claims as to the validity of this transfer.

Assignor: Douglas James, LLC

By: Steven R. Vanderwater, its Member

Acceptance of Assignment. Assignees hereby accept such assignments.

Assignee: BuffWater, LLC

By: Steven R. Vanderwater, its Manager

By: Clark Buffam, its Manager



GULF-MC, LLC

As Secretary of State, of the State of Louisiana, I do hereby Certify that

A limited liability company domiciled in SPRING, TEXAS,

Filed charter and qualified to do business in this State on August 10, 2017,

I further certify that the records of this Office indicate the company has paid all fees due the Secretary of State, and so far as the Office of the Secretary of State is concerned, is in good standing and is authorized to do business in this State.

I further certify that this certificate is not intended to reflect the financial condition of this company since this information is not available from the records of this Office.

In testimony whereof, I have hereunto set my hand and caused the Seal of my Office to be affixed at the City of Baton Rouge on,

June 6, 2022

K T Ze Mor Secretary of State

Web 427658370



Certificate ID: 11581932#KHH62

To validate this certificate, visit the following web site, go to Business Services, Search for Louisiana Business Filings, Validate a Certificate, then follow the instructions displayed. www.sos.la.gov

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Inspection & Supervision	Processed
Jun-30-2021 Excise - Insp/Supv 1757148-001-760 GULF MARINE CONTRACTORS	Confirmation # 1-769-575-744 Submitted Jul-20-2021 13:50:08 by Kevin Shockley Processed Jul-21-2021 01:41:44
Inspection/Supervision Return	9

Return Paid Preparer Information Review and Submit Inspection and Supervision Fee 1. Total intrastate gross receipts for the calendar year 5,575.00 2. Total fee 40.00 3. Less total fee from prior quarter 20.00 Net fee due 4. 20.00 5. Penalty 0.00 6. Interest 0.00 7. Total Due 20.00

Payment

Processed

Jun-30-2021 Excise - Insp/Supv 1757148-001-760 GULF MARINE CONTRACTORS Confirmation # 0-930-977-088 Submitted Jul-20-2021 13:51:45 by Kevin Shockley Processed Jul-22-2021 22:23:06

Payment Channel	Payment
Туре	Payment Type
Direct Debit - US Bank	Return Payment
Name	Payment Date
BANK OF AMERICA, N.A *4500	Jul-20-2021
Bank Account Type	Amount
Checking Savings	20.00
Routing Number	
111000025	
Bank Name	
BANK OF AMERICA, N.A.	
Account Number	
****4500	

Inspection & Supervision	Processed
Sep-30-2021	Confirmation #
Excise - Insp/Supv	0-075-656-512 Submitted Oct-02-2021 13:55:52 by Kevin Shockley
1757148-001-760 GULF MARINE CONTRACTORS	Processed Oct-05-2021 03:18:18
GULF MARINE CONTRACTORS	
Inspection/Supervision Return	

Review and Submit

Inspection and Supervision Fee

Paid Preparer Information

Return

1115	spection and supervision ree	
1.	Total intrastate gross receipts for the calendar year	5,575.00
2.	Total fee	60.00
З.	Less total fee from prior quarter	40.00
4.	Net fee due	20.00
5.	Penalty	0.00
6.	Interest	0.00
7.	Total Due	20.00

Payment

Processed

Sep-30-2021 Excise - Insp/Supv 1757148-001-760 GULF MARINE CONTRACTORS Confirmation # 0-507-759-936 Submitted Oct-02-2021 13:56:52 by Kevin Shockley Processed Oct-05-2021 23:08:54

Payment Channel Option Default New	Payment Payment Type Return Payment
Type Direct Debit - US Bank	Payment Date Oct-02-2021
Name BANK OF AMERICA, N.A *4500	Amount 20.00
Bank Account Type Checking Savings	
Routing Number 111000025	
Bank Name BANK OF AMERICA, N.A.	
Account Number ****4500	

Inspection & Supervision Dec-31-2021 Excise - Insp/Supv

1757148-001-760 GULF MARINE CONTRACTORS

Processed

Commendation *	
0-146-238-272	
Submitted Mar-10-2022 16:55:34	by Kevin Shockle
Processed Mar-11-2022 04:00:11	

Inspection/Supervision Return

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Return	Paid Preparer Information Review and Submit	
Inspection and Su	pervision Fee	
1. Total intrastate gross r	ceipts for the calendar year	5,575.0
2. Total fee		80.0
 Less total fee from pri 	r quarter	60.0
4. Net fee due		20.0
5. Penalty		0.0
6. Interest		0.0
7. Total Due		20.0

Payment

Processed

Dec-31-2021 Excise - Insp/Supv 1757148-001-760 GULF MARINE CONTRACTORS

Confirmation # 1-219-980-096 Submitted Mar-10-2022 16:56:08 by Kevin Shockley Processed Mar-15-2022 03:14:36

Payment Channel	Payment
Option	Payment Type
Default New	Bill Payment
Туре	Payment Date
Direct Debit - US Bank	Mar-10-2022
Name	Amount
BANK OF AMERICA, N.A *4500	20.00
Bank Account Type	
Checking Savings	
Routing Number	
111000025	
Bank Name	
BANK OF AMERICA, N.A.	
Account Number	
****4500	

Inspection & Supervisi Mar-31-2022 Excise - Insp/Supv 1757148-001-760 GULF MARINE CONTRACTORS	Confirmation # 0-415-176-512	06-2022 15:16:58 by Kevin Shockley	Continue Editing Delete	
nspection/Supervision Ret	um		and to a set of the large of the many operation of the set of the	******
Return	Paid Preparer Information Rev	view and Submit		
Inspection and Supe	rvision Fee			
1. Total intrastate gross rece	ipts for the calendar year			0.0
2. Total fee				20.0
3. Less total fee from prior of	quarter			0.0
4. Net fee due				20.0
5. Penalty				0.0
6. Interest				0.0
7. Total Due	dalar ningdagan migan nganan tangga ke ngata sa disabara men dinggang s			20.0
Payment	Submitte		> Cancel	
Mar-31-2022	Confirmation # 0-952-047-42			

Submitted Jun-06-2022 15:17:22 by Kevin Shockley

Mar-31-2022 Excise - Insp/Supv 1757148-001-760 GULF MARINE CONTRACTORS

Payment Channel	Payment
Option	Payment Type
Default New	Return Payment
Type	Payment Date
Direct Debit - US Bank	Jun-06-2022
Name	Amount
BANK OF AMERICA, N.A *4500	20.00
Bank Account Type	
Checking Savings	
Routing Number	
111000025	
Bank Name	
BANK OF AMERICA, N.A.	
Account Number	